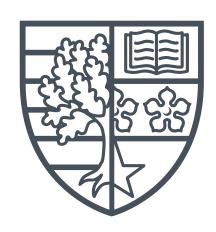
RESEARCH PROPOSAL

CAVITATION EROSION OF BLENDED STELLITE ALLOYS

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Abstract

Cavitation erosion is a complex phenomenon influenced by the intensity of cavitating bubbles and material resistance, leading to performance degradation through material loss. This research endeavors to evaluate the resistance of blended stellite alloys to cavitation erosion. The study will investigate the synergy between cavitation and corrosion through in-situ electrochemical measurements. Experimental procedures will involve an ultrasonic vibratory horn operating at a fixed frequency of 20 kHz, with adjustable peak-to-peak amplitude. Microstructural characterization of cavitated sample surfaces and underlying cross-sections affected by cavitation will be conducted using scanning electron microscopy.

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Chapter 1

Introduction

Cavitation erosion occurs when vapor bubbles form and collapse within a fluid due to local pressure reaching the vapor pressure threshold [1–3]. The implosion emits heat [4], shockwaves [5], and microjets [6] that damage adjacent solid surfaces, leading to material removal due to cumulative cavitation events [7, 8]. The resulting stress levels, as seen in Figure 1, can exceed material thresholds, causing surface damage and system degradation [9]. Understanding material response to cavitation stresses is crucial for selecting resistant materials and minimizing maintenance costs.

Stellites are cobalt-chromium alloys that are typically used for surfaces in lubrication-starved, high temperature or corrosive environments [10–14], such as in the nuclear industry [15–17], oil & gas [18, 19], marine [20], power generation [21], and aerospace industries [22]. The wear resistance of different stellite alloys manufactured by casting, forging, laser cladding, and hot isostatic pressing (HIP) has been investigated extensively, [12, 13, 23–31]. The cavitation erosion of stellites has been investigated in experimental studies [21, 32–50], along with investigations into cobalt-based alloys [51–61].

Ahmed et al. have investigated the impact of HIP'ing on stellite alloys, finding superior impact and fatigue resistance compared to cast stellite alloys [62–67]. They also explored blended alloys formed by consolidating two stellite powders, resulting in unique microstructures influenced by the different diffusion rates of added

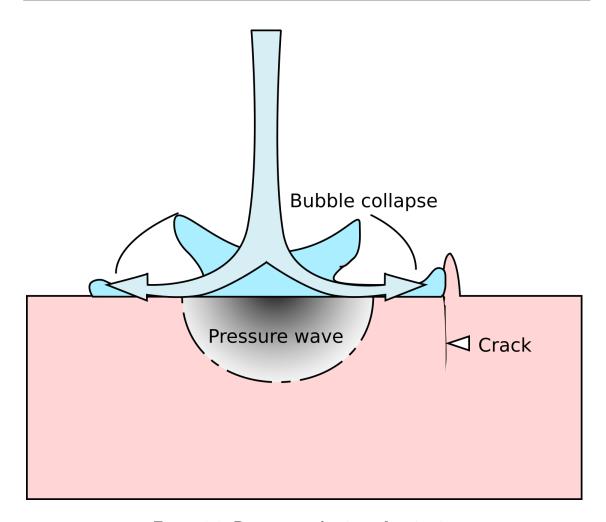


Figure 1.1: Damage mechanism of cavitation

elements. Depending on the composition of the stellite powders used, the blended alloys possess uniform microstructure or regions that are similar to the constituent powders [11, 62]. This is due to the different diffusion rates of the added elements - carbon diffuses through the blended alloys while tungsten cannot diffuse due to its high atomic radius [11, 62].

In light of the advantageous impact of fine carbide structure and microstructure on cavitation erosion, the lack of academic investigation into cavitation erosion on HIP'ed stellite alloys highlights the imperative for additional research. Investigating the effects of alloy composition & microstructure of blended alloys on their cavitation erosion behaviour promises to yield deeper insights into stellite performance under such conditions.

1.1 Aims and Objectives

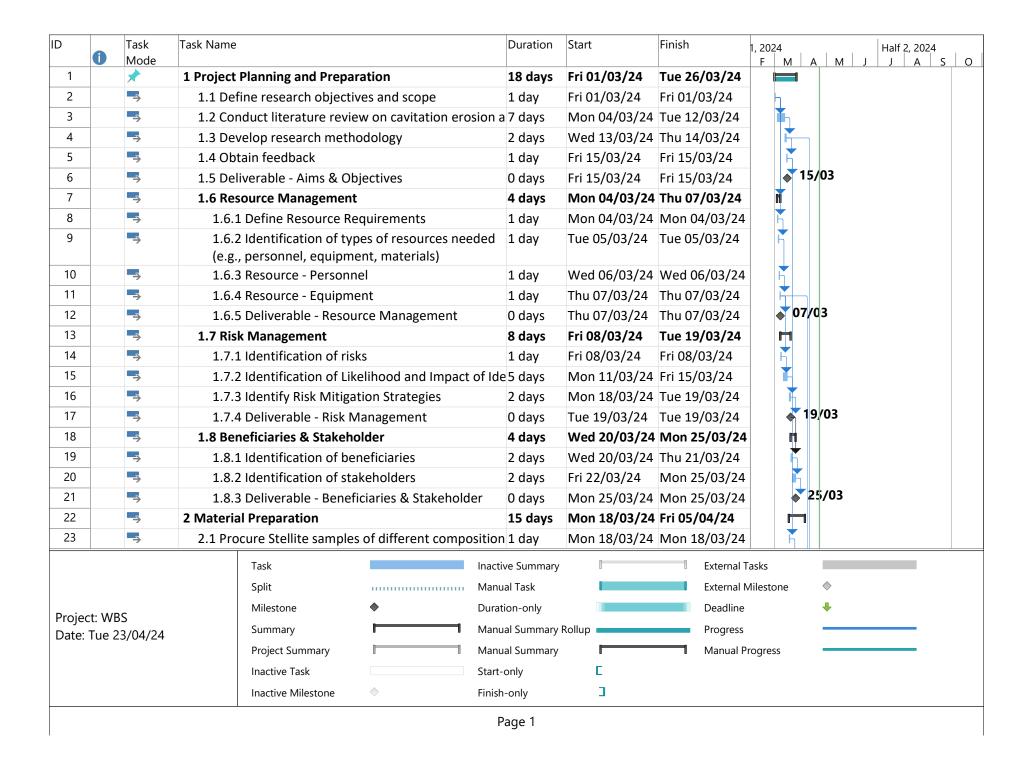
Cavitation erosion impacts various industrial components, lowering their service life and increasing overall costs. In order to minimize damage & losses due to cavitation, the mechanisms by which materials degrade under cavitation erosion need to be understood. This work aims at identifying the most relevant factors to the cavitation erosion of base and blended stellite alloys, with a focus on how composition and microstructure affect cavitation resistance. The objectives of this work are to:

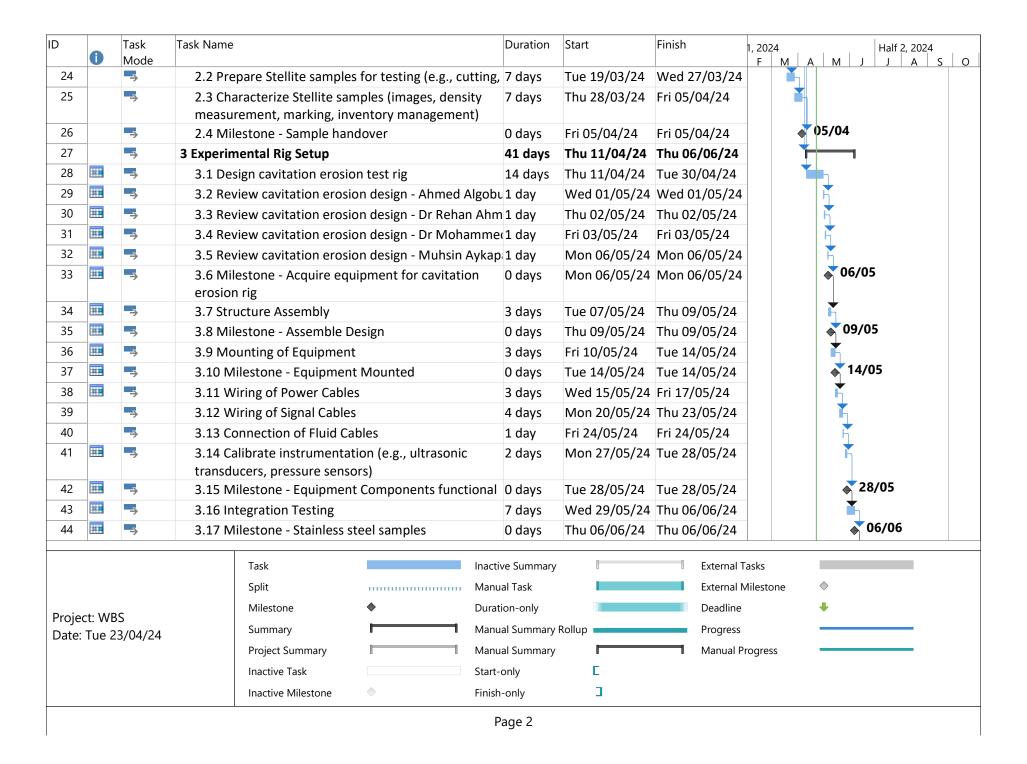
- 1. **Design and develop** an experimental rig capable of accurately simulating cavitation erosion conditions in distilled water & artificial seawater and achieving measurable & replicable erosion rates, **by end of May**.
- 2. Quantify cavitation erosion resistance of stellite materials in distilled water and artificial seawater by end of June.
- 3. **Investigate** the morphology, microstructure, chemical composition, and surface characteristics of eroded stellite samples by end of July.
 - (a) **Acquire** Optical Microscopy images of eroded stellite samples at different stages of testing, in order to track changes of overall morphology of eroded surface.
 - (b) **Acquire** Scanning Electron Microscopy (SEM) images of eroded stellite samples to analyze the microstructural changes and phase composition resulting from cavitation erosion.
 - (c) Acquire Energy Dispersive X-ray Spectrometry (EDS) images and scans to analyze the elemental composition of specific regions on the eroded stellite samples (elemental composition of matrix, carbides, and interfaces)
- 4. **Develop** mathematical models for cavitation erosion of stellite alloys **by end** of July.
 - (a) **Investigate** the relationship between composition and previously reported structure-property relationships to cavitation erosion rates.

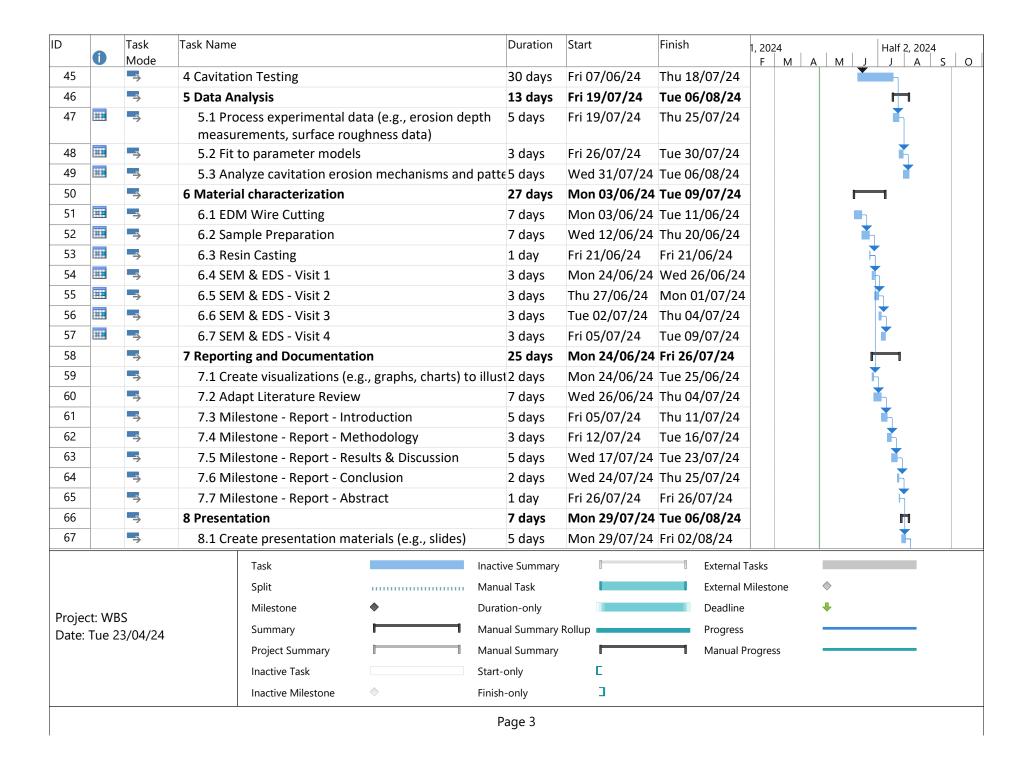
- (b) **Assess** the applicability of parameter-models of cavitation erosion to experimental data of the cumulative mass loss of stellites.
- 5. Understand the cavitation mechanism in stellite alloys and describe a phenomological model of CE in stellite alloys and provide actionable recommendations for enhancing cavitation resistance in stellite alloys

Finite element simulations (FEA) and other numerical simulation techniques are outside the the scope of this thesis.

1.2 Gantt Chart







D	0	Task Mode	Task Name		Duration	Start	Finish	1, 202 F	24 M A	M J	Half 2, 202	24 s c
68		->	8.2 Practice oral presentation		2 days	Mon 05/08/24	Tue 06/08/		IVI A		JA	3 0
69		- 5	9 Publication		38 days	Mon 05/08/24						—
70		<u> </u>	9.1 Write conference paper		12 days	Mon 05/08/24					1	
71		→	9.2 Present research findings at seminars, or workshops	t conferences,	2 days	Wed 21/08/24	Thu 22/08/	24			Ė	
72		- >	9.3 Write journal paper		21 days	Fri 23/08/24	Fri 20/09/2	4				
73		→	9.4 Publish research findings in other relevant publications	scientific journals o	r 3 days	Mon 23/09/24	Wed 25/09	/24				
			Task		ctive Summary			rnal Tasks				
			Split	Mar	nual Task		Exter	rnal Milesto	one	•		
rojec	t: WB	BS	Split Milestone	Mai	nual Task ration-only		Exter Dead	rnal Milesto dline	one	•		
roject		BS 23/04/24	Split Milestone Summary	Dur	nual Task ration-only nual Summary F	Rollup	Exter Deac Prog	rnal Milesto dline press				
•			Split Milestone Summary Project Summary	Mai Mai Mai	nual Task ration-only nual Summary I nual Summary		Exter Deac Prog	rnal Milesto dline				
•			Split Milestone Summary	Mai Mai Mai	nual Task ration-only nual Summary F	Rollup	Exter Deac Prog	rnal Milesto dline press				

1.3 Resources

The designed rig will require the use of the following equipment

- Q500 Sonicator (existing)
- Vacuum Pump and Dessicator (purchased)
- Chilled Water Supply (existing)
- Coiled heat exchanger (purchased)
- Air Compressor (existing)

This work will require access to the following Heriot-Watt University laboratories.

• Energy Laboratory

Location of relevant existing equipment (sonotrode, microscope, precision balance). There are two computers in the Energy Lab, the first to control the microscope and to handle image processing through ImageJ, and second for general purpose computing. The second computer has an automated backup, in addition to version control on all data stored.

• Chemical Laboratory

Acetone is stored in Flammable Liquid Storage Cabinet in Chemical Lab, with purchase of more acetone available through vendors registered with Procurement. Distilled water is provided by Type 1 water purification system in the Chemical Laboratory.

- Fabrication & Automotive Laboratory
 Access to tools for modification of equipment.
- Electronics Laboratory

Access to soldering equipment for work on unpowered equipment.

In addition to the above, the following items are required:

- Specimens of Blended Stellite Alloys (provided by Dr Rehan Ahmed)
- Access to material characterization equipment (SEM, EDS, and XRD) through MoU w/ University of Sharjah.

1.4 Risks

The major obstacle for this project is the potential for time constraints and delays, especially those that were not adequately accounted for during the initial project planning phase.

1.4.1 Experimental setup complexity risks

Experimental setup could pose unexpected issues due to lack of planning. In order to mitigate the risk of unexpected design changes, the following strategies are to be employed:

- Detailed Planning and Design in CAD
 The rig is to be designed in CAD to ensure all subsystems meet spatial, power, and I/O requirements.
- Expert Consultation & Review

The rig design is to be reviewed by supervisor and other expereinced researchers & engineers. Feedback is to be recorded and designed altered to alleviate concerns. Identified people for review are Dr Rehan Ahmed, Dr Mohammed Al-Musleh, Muhsin Aykapaddatu

- Functionality/performance is not as expected or to specification
 Pilot testing of the rig to ASTM G32 standards using known materials (e.g., 316L stainless steel) will verify functionality and performance, comparing results with existing data.
- Documenting Procedures and Troubleshooting Protocols
 Detailed documentation of components and development of a Standard Operating Procedure (SOP) aligned with ASTM G32 standards will be maintained.
 Troubleshooting protocols will be established for unforeseen issues.
- Modular Design & Redundancies

 The rig will feature a modular design for easy component adjustment. Spare parts will be readily available for quick replacement or repair, minimizing downtime.

1.4.2 Health & Safety risks

The primary H&S risks are Noise Exposure and Chemical Hazards (exposure to acetone). Both of these risks have been investigated in existing risk assessments for equipment have been attached to the appendix for the reader's perusal. Unlike the proposed additions, these equipment involve human interaction, requiring the need for a more comprehensive risk assessment. The risk assessments were written by the author, with feedback from project supervisor and lab manager.

- Grinder-Polisher, available at Appendix A.1
- Ultrasonic Bath, available at Appendix A.2
- Cavitation Equipment, available at Appendix A.3

1.5 Beneficiaries & Stakeholders

Industrial manufacturers and technology providers will benefit from improved understanding of cavitation erosion in stellite alloys, enabling the development of more durable materials for applications in harsh environments, such as hydroelectric power plants [47], Francis turbines [68], nuclear power plant valves [17, 69], condensate and boiler feedwater pumps [70], marine propellers [71], liquid-lubricated journal bearings [72], pipline reducers [73–75].

The project supervisor and academic faculty represent the primary stakeholders, whose critique will be necessary for attaining project & academic objectives. Apart from serving as mentor, the project supervisor has provided rare specimens and leveraged inter-university connections to access material characterization facilities, enhancing the project's resources and capabilities. Other stakeholders are:

- Peer Researchers: offer peer review and collaboration, in addition to being users of similar equipment. Undergraduate students are unlikely to be present during project duration, although they are likely to be end users of equipment after project close.
- Research Community: contribute to understanding of cavitation erosion and

benefit from data. Project outcomes generate data and contribute to understanding of cavitation erosion.

• Lab Management: ensure compliance with health and safety requirements.

Additionally, the lab management consists of doctoral students working on other research equipment; their advice will helpful when troubleshooting issues that arise.

Chapter 2

Literature Review

Cavitation erosion is a complex phenomenon that results from hydrodynamic elements and material characteristics [7], resulting in degraded component surfaces and material erosion.

From a hydrodynamic standpoint, cavitation erosion results from the formation of and subsequent collapse of vapor bubbles within a fluid medium, due to the local pressure reaching the saturated vapor pressure, either due to pressure decrease (cavitation) or temperature increase (boiling). When these bubbles implode, they emit heat, shockwaves, and high-speed microjets that can impact adjacent solid surfaces, leading to damage to the surface and removal of material due to the accumulation of damage following numerous cavitation events [76–78]. The required pressure drop required by cavitation could be provided by the propagation of ultrasonic acoustic waves and hydrodynamic pressure drops, such as constrictions or the rotational dynamics of turbomachinery [79]. Impurities in the fluid, such as solid particles and nanobubbles can significantly reduce the cavitation threshold leading to increased cavitation intensity [80]. When these bubbles collapse near walls, the concentration of energy on very small areas of the wall result in high stress levels [81].

The resultant stress levels, which range from 100 - 1000 MPa, can surpass material resistance thresholds, including yield strength, ultimate strength, or fatigue limit, leading to material removal from the surface and subsequent degradation of indus-

trial systems [76–78]. The high strain rate in cavitation erosion makes it rather comparable to explosions or projectile impacts, albeit with very limited volume of deformation and repeated impact loads [82–87]. The plastic deformation results in progressive hardening, crack propagation, local fracture and loss of material, with the overall damage being a function of intensity and frequency of vapor bubble collapse [88–90]. The selection of more resistent materials requires investigation of material response to cavitation stresses, with the mechanism of erosion being of particular interest [91–93]. The resulting reduction of performance & service life and the increased maintenance and repair costs motivate research into understanding how materials respond to the impact of a cavitating material.

2.1 Measuring cavitation erosion through ASTM G32

The ASTM G32 standard defines the study of cavitation performance of materials by placing an ultrasonic sonotrode above a stationary specimen, forming a thin liquid layer between the two solid walls, as seen in Figure 2.1. The sonotrode horn emits an acoustic wave into the fluid and causes cavitation when the pressure amplitude is sufficiently high. Due to the reflection and superposition of ultrasound in the thin liquid layer, the intensity of cavitating bubbles is increased, leading to accelerated cavitation erosion [80, 94, 95].

2.1.1 Effect of distance between sonotrode and specimen

Endo et al [96] found that the extent of damage depends upon the thickness of the thin liquid layer, Kikuchi et al [97] find that the extent of damage is a function of the reciprocal of the thickness of the liquid layer. For thicknesses h < 0.5mm, numerous bubbles coalese into several large bubble clusters in contact with the horn tip and the staionary specimen, while for thicknesses h > 0.5mm, the numerous bubbles produced are isolated [98–100].

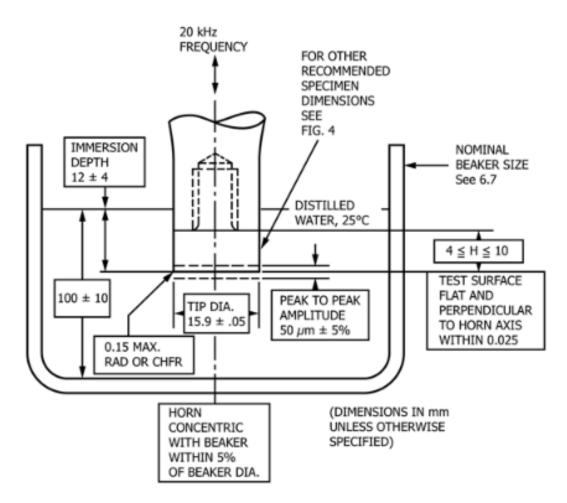


Figure 2.1: Important parameters of experimental apparatus from ASTM G32. From [94]

2.1.2 Effect of liquid temperature

The test water temperature affects the degree of cavitation erosion [101, 102], with mass loss rate initially increasing with increase in temperature, peaking at an optimum temperature T_m , then decreasing with further increase in temperature [103], with bulk liquid temperatures above 50 C not altering erosion rate significantly [101, 104]. However, it must be noted that the temperature of the liquid film between the ultrasonic tip and sample rises rapidly, regardless of the bulk liquid temperature [96, 99], with maximum erosion rates observed with film temperatures at temperatures 30-35 C [101, 105].

2.2 Stellite

Stellite alloys belong to the cobalt-chromium family, with the addition of tungsten or molybdenum as the main alloying elements. The matrix in stellite alloys consist of cobalt (Co) with solid-solution strengthening of a substantial amount of chromium (Cr) and tungsten(W)/moblybdenum(Mo), resulting in high hardness & strength at high temperature, with carbide precipitations (Co, Cr, W, and/or Mo carbides) adding strength and wear resistance [26, 27, 62, 106–108]. Stellites are typically used for wear-resistant surfaces in lubrication-starved, high temperature or corrosive environments [10–14], such as in the nuclear industry [15–17], oil & gas [18, 19], marine [20], power generation [21], and aerospace industries [22].

The wear resistance of different stellite alloys manufactured by casting, forging, laser cladding, and hot isostatic pressing (HIP) has been investigated extensively, [12, 13, 23–31]. Hot Isostatic Pressing (HIP) consolidation of Stellite alloys offers significant technological advantages for components operating in aggressive wear environments [12, 22, 64, 66]. Yu et al [30, 67] note that HIP consolidation results in superior impact and fatigue resistance over cast alloys. The cavitation erosion of stellites has been investigated in experimental studies, as seen in Table 2.1, [21, 32–50], along with investigations into cobalt-based alloys [51–61], though the cavitation erosion mechanism has not been fully established, particularly the effect of microstructure due to different fabrication techniques, as seen in Figure 2.2. In addition to the energy absorbing effect of phase transformation of the cobalt matrix [61], Heathcock et al [109] find that finer carbide structure leads to increased cavitation erosion resistance, an observation ratified by Garzon et al [110]. Cavitation erosion of stellite coatings is improved in seawater, when compared to distilled water [52], likely due to the protective effect of chromium oxides inhibiting formation of erosion pits [53].

Corrosion studies conducted on stellites find high corrosion resistance due to the substantial percentage of Cr in the matrix. The matrix is preferentially attacked, with the dissolution of Co into Co^{2+} , while a surface layer comprised of chromium-rich oxides $(Cr_2O_3 \& Cr(OH)_3)$ prevents further corrosion in chloride-rich environments.

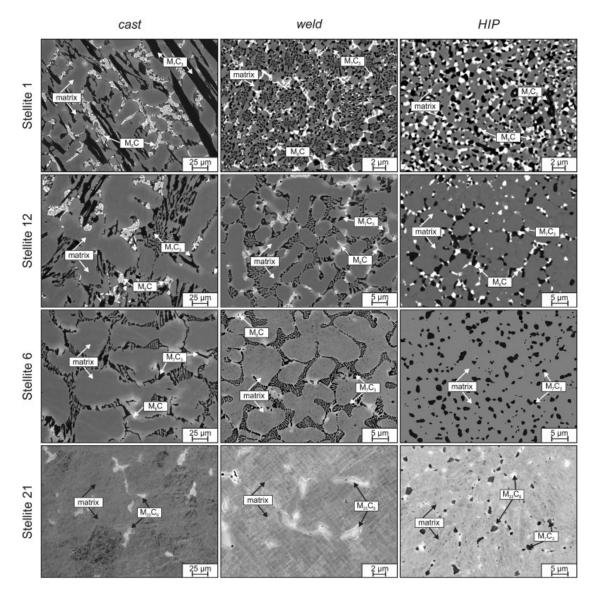


Figure 2.2: Microstructure of Stellite alloys 1, 12, 6, & 21 due to casting, welding, and HIP'ing. From [31].

Zhang et al find that stellite alloys with higher carbon content have less corrosion resistance [10]. Malayoglu et al find improved erosion and corrosion resistance of HIP'ed Stellite 6 over cast Stellite 6, due to lessened removal of Co-rich matrix in HIP'ed material. [111]. Mohamed et al report similar improved performance of HIP'ed Stellite 6 and attribute it to the fine grain size of carbides in HIP'ed materials [112].

2.2.1 Matrix phase

Cobalt and Co-Cr alloys undergo thermally induced phase transformation from the high temperature face-centered cubic (FCC) γ phase to low temperature hexag-

Table 2.1: Operating parameters used in ASTM G32 tests on Stellite specimens									
ect	er	HIP'ed Stellite 6	50	_	0.5	1.5	24	2.09	[33]
lire	Water	$5 \times 10^{16} \frac{Mn^+}{cm^2}$ HIP'ed Stellite 6	50	_	0.5	1.5	24	2.07	[33]
Indirect	M	$10 \times 10^{16} \frac{cm^2}{cm^2}$ HIP'ed Stellite 6	50	_	0.5	1.5	24	1.88	[33]
	er	LC Stellite 6	50	25	-	1	14	2.7	[36]
	Water	SLD Stellite 6	50	25	-	1	14	0.77	[36]
	×	HVOF Stellite 21	25	25	-	0.5	8	_	[35]
t-	71	Stellite 728	50	25	-	5	50	1.012	[32]
ec	NaCl	Stellite 6	50	25	-	5	50	2.841	[32]
Direct	Z	Stellite 6B	50	25	-	5	50	2.018	[32]
	wt%	HVOF Stellite 21	25	25	-	0.5	8	-	[35]
		LC Stellite 6	50	25	-	1	14	0.044	[38]
	3.5	SLD-1.0kW Stellite 6	50	25	-	1	14	0.017	[38]
	63	SLD-1.0kW Stellite 6	50	25	ı	1	14	0.017	[38]
Peak to Peak Amplitude (µm)									
Water Temperature (°C)									
Standoff Distance (mm)									
Test Duration (hr)									
Total Duration (hr)									
Terminal Erosion Rate for Eroded Area 199 mm ² (mg h ⁻¹)									
References									

onal close-packed (HCP) ϵ phase at 700 K and strain induced FCC-HCP transition through martensitic-type mechanism (partial movement of dislocations) [32, 113]. At ambient conditions, the metastable FCC retained phase in stellites can be transformed into HCP phase by mechanical loading, although any HCP phase is completely transformed into a FCC phase between 673 K and 743 K [35, 114]; the metastable FCC cobalt phase in stellite alloys [36, 115] absorbs a large part of imparted energy under the mechanical loading of cavitation erosion. The FCC to HCP transition is related to the very low stacking fault energy of the FCC structure (7 mJ/m2) [33, 116, 117].

Solid-solution strengthening leads to increase of the FCC cobalt matrix strength (due to distortion of the atomic lattice with the addition of elements of different atomic radii), and decrease of low stacking fault energy [116] due to the adjusted electronic structure of the metallic lattice. Dislocation motion in stellites is discouraged by solute atoms of Mo and W, due to the large atomic sizes. Given that dislocation cross slip is the main deformation mode in imperfect crystals at elevated temperature, as

dislocation slip is a diffusion process that is enhanced at high temperature, this leads to high temperature stability [118]. In addition, nickel (Ni), iron (Fe), and carbon (C) stabilize the FCC structure of cobalt (a = 0.35 nm), while chromium (Cr) and tungsten (W), stabilize the HCP structure (a = 0.25 nm and c = 0.41 nm) [116, 119].

2.2.2 Carbide phase

The amount and types of carbides dispersed in the stellite matrix are primarily determined by the carbon content, with higher carbon content encouraging carbides with higher C/M ratios, while the size of carbides is determined by the cooling rate [27, 29]. Carbon content can be used to distinguish between different stellite alloys: high-carbon stellites designed for high wear resistance, abrasion, & severe galling, medium-carbon (0.5 - 1.6%wt) stellites used for high temperature service, and low-carbon (< 0.5%wt) stellites used primarily for corrosion resistance, cavitation, & sliding wear [37, 120]. Low-carbon stellites depend primarily on solidsolution strengthening for their mechanical properties. As the carbon content increases, the W/Mo content is usually also increased to prevent depletion of Cr from matrix solid solution strengthening [10, 112]. Chromium is the predominant carbide former, with M_7C_3 and $M_{23}C_6$ phases, in addition to providing corrosion resistance and strength to the stellite matrix [43–45]. Difference between the M_7C_3 and $M_{23}C_6$ phases is not readily visible under SEM. In tungsten-containing alloys, carbides of type M_7C_3 and M_6C are formed in addition to the matrix. Ahmed et al report on the identification of intermetallic Co_3W and Co_7W_6 phases through XRD, although these phases are not identified in SEM observations [31, 121, 122].

2.2.3 Blended Stellite Alloys

Ahmed et al investigate the influence of the HIP'ing process on stellites [62–67], and conclude that HIP consolidation of Stellite alloys offers significant technological advantages for components operating in aggressive wear environments due to superior impact and fatigue resistance over cast alloys [12, 22, 64, 66]. In order to achieve

unique microstructures from existing stellite alloys, Ahmed et al investigate the performance of blended alloys [11, 62], which are formed through the consolidation of a mixture of two stellite powders.

A blended stellite alloy is formed by hot isostatic pressing of a mixture of two stellite powders. The powders are created through gas atomization, in which a stream of liquid stellite alloy is disrupted and atomized into tiny molten droplets by a highpressure inert gas flow [11, 62, 123, 124]. The free-falling molten droplets rapidly solidify into spherical particles before being collected, forming high quality stellite powders with controllable size. The rapid cooling of the powder during atomization leads to reduced precipitation of carbides and supersaturation of the metallic matrix with other elements, as seen in the reduced proportion of carbide phases detected in the XRD performed on powders, compared to XRD of HIP'd samples. The mixing of powders is conducted in a powder hopper that ensures uniform distribution of powder mixtures [11, 62]. The HIP treatment was conducted at a temperature of 1200 C and a pressure of 100 MPa for a duration of 4 hours, resulting in full dense blended stellite alloys [125–127]. During the HIP'ing process, carbides are precipitated, in addition to reduction of supersaturation of the matrix [128, 129]. Depending on the composition of the stellite powders used, the blended alloys could possess uniform microstructure or regions that are similar to the constituent powders. This is due to the different diffusion rates of the added elements - carbon diffuses through the blended alloys while tungsten cannot diffuse due to its high atomic radius [11, 62, 130, 131].

In summary, this literature review emphasizes the necessity for additional academic inquiry into the cavitation erosion resistance of HIP'ed stellite alloys, with focus on the influence of composition on microstructure and cavitation erosion behavior. This thesis endeavors to address this gap in knowledge by conducting a comprehensive investigation.

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Appendix A

Risk Assessments

The following risk assessments are:

- Grinder-Polisher A.1
- Ultrasonic Bath A.2
- Cavitation Equipment A.3

A.1 Risk Assessment - Grinder Polisher



Risk Assessment Title: Grinder-Polisher	Ref No: 2023-2024

School/Institute/Directorate:	Location:	Supervisor	Date:	Review Date:
EPS	Energy Lab, Dubai	Dr Rehan Ahmed	2023-09-11	Whenever there is a change in SOP
				and risk involved.
				Last update: 2023-09-11

Risk Rating Matrix (RR)		Likelihood(L)	
Severity (S)	Certain or near certain to occur (High)	Reasonably likely to occur (Medium)	Unlikely to Occur (Low)
Fatality, major injury or illness causing long term disability (High)	HIGH(H)	HIGH(H)	MEDIUM(M)
Injury or illness causing short term disability (Medium)	HIGH(H)	MEDIUM(M)	LOW(L)
Other Injury or Illness (Low)	MEDIUM(M)	LOW(L)	LOW(L)

Description:

Background:

Metallographic samples often need to be grinded down and polished before use in experiments; the surface with unaccounted for roughness may experience faster rates of corrosion and erosion. The Buelher grinder-polisher is used to prepare samples in-house.

In this procedure, the grinder-polisher is supplied with electrical and compressed air supply. Electrical power is used to power the motor and interface while compressed air is used to apply controlled amounts of force to workpieces. The workpiece holder and base plate rotate, in order to provide uniform grinding and polishing action to all workpieces.

Personal Protective Equipment (PPE)

Wear gloves and lab coat with folded sleeves while using grinder polisher. Enclosed shoes must be worn while using the machine. Avoid entanglement of hair with hairband.

Procedural Controls

Care should be taken to avoid operating equipment unless properly trained.

Avoid accessing the rear of instrument.

Low stocks of consumable items (gloves, paper towels, etc) should be reported to Supervisor or Lab Manager.



					UK DUBAI MALAYSIA
What are the Hazards?	Who might be	Uncontrolled	Control measures	Controlled	Responsible
Wildt die tile nazarus:	harmed? Risk Rating		(What are you already doing?)	Risk Rating	Person(s)
Rotating Equipment User High mechanisms Lab User		High	Users are trained and supervised until fully competent. Users are trained to not access mechanical parts of the grinder- polisher while running. Sample holder and platen have grooves cut into them for easier removal of consumables from equipment.	Medium	Equipment User Supervisor
Electric Shock Equipment User Lab User		High	Use of waterproof electrical socket for electrical connection. Avoid touch of electrical source and connections using wet hands. Keep hands dry whenever touch the electrical source. Switch off the ultrasonic probe when not in use. Check PAT testing is up to date.	Low	Equipment User
Hand, Hair, or Clothing entanglement	Equipment User Lab User	Medium	Long hair and loose clothing should be secured to avoid contact with moving parts. Users must wear lab coat with folded sleeves, as well as nitrile gloves. Jewellery (eg. rings) should be removed.	Low	Equipment User Supervisor
Contact with lubricants	Equipment User	Low	Avoid touching cutting lubricants and pastes. Use of nitrile gloves should control this; MSDS does not list short-term risks. Wash hands if lubricants come into contact with hands.	Low	Equipment User

Additional Work Practice Information:

If Lab Users are sensitive to noise or poor quality air, avoid use of equipment.

Ensure that compressed air supply is available when using equipment.

Facilities Management and Housekeeping usually do not touch equipment. Keep space around cavitation equipment clean to avoid interference. Avoid use of grinder-polisher when classes occur in the lab. Avoiding noise disturbance is a courtesy worth extending to other lab users.

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Verifier				



Declaration

I confirm that I have read the Risk Assessment, I understand the hazards, and risks involved and will follow all the control measures stated. If I have any queries or concerns, I will contact the above.

Organisation	Staff/Student/Visitor	Signature	Date
EPS	Student	Vishakh Pradeep Kumar	2023-09-11
	Organisation EPS		Organisation Staff/Student/Visitor Signature EPS Student Vishakh Pradeep Kumar

A.2 Risk Assessment - Ultrasonic Bath



Risk Assessment Title: Ultrasonic Bath & related	Ref No: 2023-2024

School/Institute/Directorate:	Location:	Supervisor	Date:	Review Date:
EPS	Energy Lab, Dubai	Dr Rehan Ahmed	2023-09-11	Whenever there is a change in SOP
				and risk involved.
				Last update: 2023-09-11

Risk Rating Matrix (RR)		Likelihood(L)	
Severity (S)	Certain or near certain to occur (High)	Reasonably likely to occur (Medium)	Unlikely to Occur (Low)
Fatality, major injury or illness causing long term disability (High)	HIGH(H)	HIGH(H)	MEDIUM(M)
Injury or illness causing short term disability (Medium)	HIGH(H)	MEDIUM(M)	LOW(L)
Other Injury or Illness (Low)	MEDIUM(M)	LOW(L)	LOW(L)

Description:

Background:

In the creation and analysis of metallographic samples, it is necessary to clean said samples between activities.

The ultrasonic bath is a benchtop device that uses ultrasound energy within liquids to remove residual material from the surface of samples.

Acetone is often used due to its superior cleaning properties and its quick evaporation, allowing for faster cleaning, and drying of samples before weighing. Acetone can be found in the Flammable cupboard (further details below on use) if not available at ultrasonic bath station.

Personal Protective Equipment (PPE)

Wear gloves, safety glasses, and lab coat while using chemicals (eg. Acetone). Enclosed shoes must be worn while using the machine.

Procedural Controls

Avoid using finger

Consult Material Safety Datasheet (MSDS) before using machine and during training.

Users should operate the bath in accordance with training to avoid damage to the instrument. Avoid accessing the rear of instrument.

Fill bath with clean/distilled water only.

 $Low \ stocks \ of \ consumable \ items \ (gloves, \ paper \ towels, \ etc) \ should \ be \ reported \ to \ Supervisor \ or \ Lab \ Manager.$



					UK DUBAI MALAYSIA
What are the Hazards?	Who might be harmed?	Uncontrolled Risk Rating	Control measures (What are you already doing?)	Controlled Risk Rating	Responsible Person(s)
Fumes Equipment User		Low	Use of well-ventilated space.	Low	Lab Manager
Noise	Equipment User Lab User	Low	Avoid using ultrasonic cleaner while using nearby equipment. Use of ear protection.	Low	Equipment User Supervisor
Electric Shock	Use of waterproof electrical socket for electrical connection. Avoid touch of electrical source and connections using wet hands. User High Keep hands dry whenever touch the electrical source. Switch off the ultrasonic cleaner when not in use. PAT Test equipment yearly.		Low	Lab Manager	
Using flammable solvents in ultrasonic cleaner	Using flammable solvents in Equipment The ultrasonic bath is not to be filled with flammable solvents (eg. IPA, acetone) as flammable solvents nose a serious explosion bazard. Small		Low	Equipment User Supervisor	
Storage of flammable chemicals Equipment User High Ensure that large quantities of acetone are stored in the flammable liquids cupboard in the Chemical lab and that only small quantities are utilized near the station. In the event of a spill, avoid use of nearby equipment without removing spilled liquid.		Low	Equipment User		
Cuts from broken glass Equipment User Low Avoid using chipped or broken glassware. Use nitrile gloves while handling acetone. Avoid touching broken glass with bare hands.		Low	Equipment User		

Additional Work Practice Information:

Avoid use of beakers on bottom of ultrasonic bath tank; the vibration induced may wear the tank surface leading to leaks and damage.

If Equipment Users are sensitive to noise or poor quality air, avoid use of uncovered operation of ultrasonic cleaner and use N95 masks.

Facilities Management and Housekeeping usually do not touch equipment. Keep space around ultrasonic equipment clean to avoid interference.

Avoid use of ultrasonic cleaner when classes occur in the lab. Although inconvenient, avoiding noise disturbance is a courtesy worth extending to other lab users.



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Verifier				

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Name	Organisation	Staff/Student/Visitor	Signature	Date
Vishakh Pradeep Kumar	EPS	Student	Vishakh Pradeep Kumar	2023-09-11

A.3 Risk Assessment - Cavitation Equipment



Risk Assessment Title: Cavitation Equipment	Ref No: 2023-2024

School/Institute/Directorate:	Location:	Supervisor	Date:	Review Date:
EPS	Energy Lab, Dubai	Dr Rehan Ahmed	2023-09-11	Whenever there is a change in SOP
				and risk involved.
				Last update: 2023-09-11

Risk Rating Matrix (RR)	Likelihood(L)		
Severity (S)	Certain or near certain to occur (High)	Reasonably likely to occur (Medium)	Unlikely to Occur (Low)
Fatality, major injury or illness causing long term disability (High)	HIGH(H)	HIGH(H)	MEDIUM(M)
Injury or illness causing short term disability (Medium)	HIGH(H)	MEDIUM(M)	LOW(L)
Other Injury or Illness (Low)	MEDIUM(M)	LOW(L)	LOW(L)

Description:

Background:

An ultrasonic probe is used to simulate the effect of cavitation on metallographic samples. In this procedure, the ultrasonic probe is supplied with electrical and compressed air supply. Electrical power is used to power piezoelectric transducers while compressed air is used to keep the ultrasonic probe cool during extended periods of operation. Either seawater or water is used in the equipment tank to analyse the synergy between the cavitation and corrosion.

Use of this equipment often requires use of the ultrasonic bath and analytical scale.

Personal Protective Equipment (PPE)

Wear gloves and lab coat while using cavitation equipment. Enclosed shoes must be worn while using the machine.

Procedural Controls

Care should be taken to avoid acoustic coupling with human body; avoid immersing hands during operation and use guards to prevent hand access. Users should operate the bath in accordance with training to avoid damage to the instrument. Avoid accessing the rear of instrument. Low stocks of consumable items (gloves, paper towels, etc) should be reported to Supervisor or Lab Manager.



Controlled Risk Rating Uncontrolled Who might be Control measures Responsible What are the Hazards? (What are you already doing?) Risk Rating Person(s) harmed? Avoid using cavitation equipment while using nearby equipment. **Equipment User Equipment User** Noise Medium Always wear hearing protection when the ultrasonic probe is in use – Low Lab User Supervisor applies to all lab users. Use of waterproof electrical socket for electrical connection. **Electric Shock Equipment User** Avoid touch of electrical source and connections using wet hands. High Low **Equipment User** Lab User Keep hands dry whenever touch the electrical source. Switch off the ultrasonic probe when not in use. **Equipment User** Misuse of **Equipment User** Medium Ultrasonic probe can be damaged if misused. Low Supervisor ultrasonic probe Lab User

Additional Work Practice Information:

If Equipment Users are sensitive to noise or poor quality air, avoid use of equipment.

Facilities Management and Housekeeping usually do not touch equipment. Keep space around cavitation equipment clean to avoid interference. Avoid use of cavitation equipment when classes occur in the lab. Avoiding noise disturbance is a courtesy worth extending to other lab users.

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Authoriser	Dr Rehan Ahmed	Supervisor	r.ahmed@hw.ac.uk		
Verifier					



Declaration

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Name	Organisation	Staff/Student/Visitor	Signature	Date
Vishakh Pradeep Kumar	EPS	Student	Vishakh Pradeep Kumar	2023-09-11